

ESSA: LEA Plan Requirements

The table below includes the LEA plan requirements as outlined in ESSA. In developing a “consolidated” application for districts, the SEA has some flexibility in how these requirements are collected.

Note: for this exercise the ESSA School Improvement (1003) plan requirements have not been included since those will apply only to LEAs that are eligible for 1003 funds. These planning requirements will be addressed with the CoP in future meetings.

The challenge: Using the table below -

- First, focus on only the elements that require a description.
- Group the elements that have a common focus
- Develop a question, for LEAs that merge the required descriptions you have grouped together, without losing the intent of the original requirement.
- If you cannot group them together, what question would you ask to capture the requirement? Use the “notes” section to capture your thoughts.

Next:

- Do a search for the word “parent” (Ctrl-f). What do you find? How can CDE help to ensure that parents are meaningfully involved in the planning process?
- Assurances: Identify structures, processes, procedures an LEA must have in place in order to fulfill these commitments.
- Identify and describe specific areas of support you will need from CDE to meet the requirements

Title I – Part A: LEA plan requirements for the receipt of Title I-A funds.				
IA.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	§ 1112(a)(1)(A)	Assurance that the LEA plan was developed with timely and meaningful consultation with teachers, principals, other school leaders, paraprofessionals, specialized instructional support personnel, charter school leaders (in a local educational agency that has charter schools), administrators (including administrators of programs described in other parts of this title), other appropriate school personnel, and with parents of children in schools served under this part.	Assurance	
B.	§ 1112(a)(1)(B)	Assurance that, as appropriate, the LEA plan is coordinated with other programs under this Act, the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.), the Rehabilitation Act of 1973 (20 U.S.C. 701 et seq.), the Carl D. Perkins Career and Technical Education Act of 2006 (20 U.S.C. 2301 et seq.), the Workforce Innovation and Opportunity Act (29 U.S.C. 3101 et seq.), the Head Start Act (42 U.S.C. 9831 et seq.), the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11301 et seq.), the Adult Education and Family Literacy Act (29 U.S.C. 3271 et seq.), and other Acts as appropriate.	Assurance	

ESSA: LEA Plan Requirements

C.	§ 1112(b)(1)(A)	Describe how the LEA will monitor students' progress in meeting challenging State academic standards by developing and implementing a well-rounded program of instruction to meet the academic needs of all students.	Description	
D.	§ 1112(b)(1)(B)	Describe how the LEA will monitor students' progress in meeting challenging State academic standards by identifying students who may be at risk for academic failure.	Description	
E.	§ 1112(b)(1)(C)	Describe how the LEA will monitor students' progress in meeting challenging State academic standards by providing additional educational assistance to individual students the LEA or school determines need help in meeting the challenging State academic standards.	Description	
F.	§ 1112(b)(1)(D)	Describe how the LEA will monitor students' progress in meeting challenging State academic standards by identifying and implementing instructional and other strategies intended to strengthen academic programs and improve school conditions for student learning.	Description	
G.	§ 1112(b)(2)	Describe how the LEA will identify and address, as required under State plans as described in section 1111(g)(1)(B), any disparities that result in low-income students and minority students being taught at higher rates than other students by ineffective, inexperienced, or out-of-field teachers.	Description	

ESSA: LEA Plan Requirements

H.	§ 1112(b)(3)	Describe how the LEA will carry out its responsibility under section 1111(d).	Description	
I.	§ 1112(b)(4)	Describe the poverty criteria that will be used to select school attendance areas under section 1113.	Description	
J.	§ 1112(b)(5)	Describe, in general, the nature of the programs to be conducted by the LEA's schools under sections 1114 and 1115 and, where appropriate, educational services outside such schools for children living in local institutions for neglected or delinquent children, and for neglected and delinquent children in community day school programs.	Description	
K.	§ 1112(b)(6)	Describe the services the LEA will provide homeless children and youths, including services provided with funds reserved under section 1113(c)(3)(A), to support the enrollment, attendance, and success of homeless children and youths, in coordination with the services the local educational agency is providing under the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11301 et seq.).	Description	
L.	§ 1112(b)(7)	Describe the strategy the local educational agency will use to implement effective parent and family engagement under section 1116.	Description	

ESSA: LEA Plan Requirements

M.	§ 1112(b)(8)	Describe, if applicable, how the local educational agency will support, coordinate, and integrate services provided under this part with early childhood education programs at the local educational agency or individual school level, including plans for the transition of participants in such programs to local elementary school programs.	Description	
N.	§ 1112(b)(9)	Describe how teachers and school leaders, in consultation with parents, administrators, paraprofessionals, and specialized instructional support personnel, in schools operating a targeted assistance school program under section 1115, will identify the eligible children most in need of services under this part.	Description	
O.	§ 1112(b)(10)(A)	Describe how the LEA will implement strategies to facilitate effective transitions for students from middle grades to high school and from high school to postsecondary education including, if applicable, through coordination with institutions of higher education, employers, and other local partners.	Description	
P.	§ 1112(b)(10)(B)	Describe how the LEA will implement strategies to facilitate effective transitions for students from middle grades to high school and from high school to postsecondary education including, if applicable, through increased student access to early college, high school or dual or concurrent enrollment opportunities, or career counseling to identify student interests and skills.	Description	
Q.	§ 1112(b)(11)	Describe how the local educational agency will support efforts to reduce the overuse of discipline practices that remove students from the classroom, which may include identifying and supporting schools with high rates of discipline, disaggregated by each of the subgroups of students, as defined in section 1111(c)(2).	Description	

ESSA: LEA Plan Requirements

R.	§ 1112(b)(12)(A)	Describe, if determined appropriate by LEA, how such agency will support programs that coordinate and integrate academic and career and technical education content through coordinated instructional strategies, that may incorporate experiential learning opportunities and promote skills attainment important to in-demand occupations or industries in the State.	Description	
S.	§ 1112(b)(12)(B)	Describe, if determined appropriate by LEA, how such agency will support programs that coordinate and integrate work-based learning opportunities that provide students in-depth interaction with industry professionals and, if appropriate, academic credit.	Description	
T.	§ 1112(b)(13)	Describe any other information on how the LEA proposes to use funds to meet the purposes of this part, and that the LEA determines appropriate to provide, which may include how the LEA will— (A) assist schools in identifying and serving gifted and talented students; and (B) assist schools in developing effective school library programs to provide students an opportunity to develop.	Description	
U.	§ 1112(c)(1)	Provide an assurance that the LEA will ensure that migratory children and formerly migratory children who are eligible to receive services under this part are selected to receive such services on the same basis as other children who are selected to receive services under this part.	Assurance	
V.	§ 1112(c)(2)	Provide an assurance that the LEA will provide services to eligible children attending private elementary schools and secondary schools in accordance with section 1117, and timely and meaningful consultation with private school officials regarding such services.	Assurance	

ESSA: LEA Plan Requirements

W.	§ 1112(c)(3)	Provide an assurance that the LEA will participate, if selected, in the National Assessment of Educational Progress in reading and mathematics in grades 4 and 8 carried out under section 303(b)(3) of the National Assessment of Educational Progress Authorization Act (20 U.S.C. 9622(b)(3)).	Assurance	
X.	§ 1112(c)(4)	Provide an assurance that the LEA will coordinate and integrate services provided under this part with other educational services at the local educational agency or individual school level, such as services for English learners, children with disabilities, migratory children, American Indian, Alaska Native, and Native Hawaiian children, and homeless children and youths, in order to increase program effectiveness, eliminate duplication, and reduce fragmentation of the instructional program.	Assurance	
Y.	§ 1112(c)(5)(A)	Provide an assurance that the LEA will collaborate with the State or local child welfare agency to designate a point of contact if the corresponding child welfare agency notifies the local educational agency, in writing, that the agency has designated an employee to serve as a point of contact for the local educational agency.	Assurance	
Z.	§ 1112(c)(5)(B)	Provide an assurance that the LEA will collaborate with the State or local child welfare agency to, by not later than 1 year after the date of enactment of the Every Student Succeeds Act, develop and implement clear written procedures governing how transportation to maintain children in foster care in their school of origin when in their best interest will be provided, arranged, and funded for the duration of the time in foster care, which procedures shall— (i) ensure that children in foster care needing transportation to the school of origin will promptly receive transportation in a cost-effective manner and in accordance with section 475(4)(A) of the Social Security Act (42 U.S.C. 675(4)(A)); and (ii) ensure that, if there are additional costs incurred in providing transportation to maintain children in foster care in their schools of origin, the LEA will provide transportation to the school of origin if— (I) the local child welfare agency agrees to reimburse the LEA for the cost of such transportation; (II) the LEA agrees to pay for the cost of such transportation; or (III) the LEA and the local child welfare agency agree to share	Assurance	

ESSA: LEA Plan Requirements

		the cost of such transportation.		
AA.	§ 1112(c)(6)	Provide an assurance that the LEA will ensure that all teachers and paraprofessionals working in a program supported with funds under this part meet applicable State certification and licensure requirements, including any requirements for certification obtained through alternative routes to certification.	Assurance	
BB.	§ 1112(c)(7)	Provide an assurance that the LEA will, in the case of a local educational agency that chooses to use funds under this part to provide early childhood education services to low-income children below the age of compulsory school attendance, ensure that such services comply with the performance standards established under section 641A(a) of the Head Start Act (42 U.S.C. 9836a(a)).	Assurance	
CC.	§ 1116(a)(2)	<p>Provide an assurance that the LEA has developed jointly with, agreed on with, and distributed to, parents and family members of participating children a written parent and family engagement policy.</p> <p>The policy shall establish the agency’s expectations and objectives for meaningful parent and family involvement, and describe how the agency will—</p> <p>(A) involve parents and family members in jointly developing the local educational agency plan under section 1112, and the development of support and improvement plans under paragraphs (1) and (2) of section 1111(d).</p> <p>(B) provide the coordination, technical assistance, and other support necessary to assist and build the capacity of all participating schools within the local educational agency in planning and implementing effective parent and family involvement activities to improve student academic achievement and school performance, which may include meaningful consultation with employers, business leaders, and philanthropic organizations, or individuals with expertise in effectively engaging parents and family members in education;</p>	Assurance	

ESSA: LEA Plan Requirements

		<p>(C) coordinate and integrate parent and family engagement strategies under this part with parent and family engagement strategies, to the extent feasible and appropriate, with other relevant Federal, State, and local laws and programs;</p> <p>(D) conduct, with the meaningful involvement of parents and family members, an annual evaluation of the content and effectiveness of the parent and family engagement policy in improving the academic quality of all schools served under this part, including identifying—</p> <p>(i) barriers to greater participation by parents in activities authorized by this section (with particular attention to parents who are economically disadvantaged, are disabled, have limited English proficiency, have limited literacy, or are of any racial or ethnic minority background);</p> <p>(ii) the needs of parents and family members to assist with the learning of their children, including engaging with school personnel and teachers; and</p> <p>(iii) strategies to support successful school and family interactions;</p> <p>(E) use the findings of such evaluation in subparagraph (D) to design evidence-based strategies for more effective parental involvement, and to revise, if necessary, the parent and family engagement policies described in this section; and</p> <p>(F) involve parents in the activities of the schools served under this part, which may include establishing a parent advisory board comprised of a sufficient number and representative group of parents or family members served by the local educational agency to adequately represent the needs of the population served by such agency for the purposes of developing, revising, and reviewing the parent and family engagement policy.</p>		
DD.	§ 1116(b)(4)	<p>Provide an assurance that the LEA has submitted any parent comments from parents of participating children to whom the LEA plan is not satisfactory.</p> <p><i>Provide narrative space for LEA to submit comments?</i></p>	Assurance; Comments	

ESSA: LEA Plan Requirements

EE.	§ 1117(a)(1)(A), § 1117(a)(3)(B)	<p>Provide an assurance that the LEA—</p> <ul style="list-style-type: none"> • has conducted timely and meaningful consultations with appropriate private school officials; • will provide special educational services, instructional services, counseling, mentoring, one-on-one tutoring, or other benefits that address the needs of eligible children identified under section 1115(c); and, • ensures that teachers and families of eligible children participate, on an equitable basis, in services and activities pursuant to section 1116. 	Assurance	
FF.	§ 1117(b)(1)	<p>Provide an assurance that the LEA, after conducting the timely and meaningful consultation with appropriate private school officials, has submitted a copy of the agreement between the LEA and the private school to the ombudsman.</p> <p><i>Provide space for the LEA to upload agreements if not already submitted?</i></p>	Assurance; upload feature	
GG.	§ 1117(b)(5)	<p>Provide an assurance that the LEA has submitted to the ombudsman a written affirmation, signed by officials of each participating private school, that the meaningful consultation required by this section has occurred.</p> <p>The written affirmation shall provide the option for private school officials to indicate such officials’ belief that timely and meaningful consultation has not occurred or that the program design is not equitable with respect to eligible private school children. If such officials do not provide such affirmation within a reasonable period of time, the local educational agency shall forward the documentation that such consultation has, or attempts at such consultation have, taken place to the State educational agency.</p> <p><i>Provide space for the LEA to upload affirmation if not already submitted?</i></p>	Assurance; upload feature	
HH.	§ 1118(b)(2)	<p>To demonstrate compliance with paragraph (1), a local educational agency shall demonstrate that the methodology used to allocate State and local funds to each school receiving assistance under this part ensures that such school receives all of the State and local funds it would otherwise receive if it were not receiving assistance under this part.</p> <p><i>Note Special Rule: No LEA is required to identify that an individual cost or service is supplemental, or provide services</i></p>	Demonstration of compliance with supplement, not supplant	

ESSA: LEA Plan Requirements

		<p><i>under this part through a particular instructional method or in a particular instructional setting in order to demonstrate such agency's compliance with paragraph (1).</i></p> <p><i>Note Timeline: Must demonstrate no later than 2 years after date of enactment of ESSA, but may demonstrate before 2 years using method in place under NCLB.</i></p>		
II.	§ 1118(c)(2)(A)	<p>A local educational agency shall be considered to have met the requirements of paragraph (1) if such agency has filed with the State educational agency a written assurance that such agency has established and implemented—</p> <ul style="list-style-type: none"> (i) a local educational agency-wide salary schedule; (ii) a policy to ensure equivalence among schools in teachers, administrators, and other staff; and (iii) a policy to ensure equivalence among schools in the provision of curriculum materials and instructional supplies. 	Assurance	

Title I – Part D: LEA plan requirements for the receipt of Title I-D funds.				
ID.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	§ 1423(1)	A description of the program to be assisted.	Description	
B.	§ 1423(2)	A description of formal agreements, regarding the program to be assisted, between the LEA and correctional facilities and alternative school programs serving children and youth involved with the juvenile justice system, including such facilities operated by the Secretary of the Interior and Indian tribes.	Description	

ESSA: LEA Plan Requirements

C.	§ 1432(3)	As appropriate, a description of how participating schools will coordinate with facilities working with delinquent children and youth to ensure that such children and youth are participating in an education program comparable to one operating in the local school such youth would attend.	Description	
D.	§ 1423(4)	A description of the program operated by participating schools to facilitate the successful transition of children and youth returning from correctional facilities and, as appropriate, the types of services that such schools will provide such children and youth and other at-risk children and youth.	Description	
E.	§ 1423(5)	A description of the characteristics (including learning difficulties, substance abuse problems, and other special needs) of the children and youth who will be returning from correctional facilities and, as appropriate, other at-risk children and youth expected to be served by the program, and a description of how the school will coordinate existing educational programs to meet the unique educational needs of such children and youth.	Description	
F.	§ 1423(6)	As appropriate, a description of how schools will coordinate with existing social, health, and other services to meet the needs of students returning from correctional facilities, at-risk children or youth, and other participating children or youth, including prenatal health care and nutrition services related to the health of the parent and the child or youth, parenting and child development classes, child care, targeted reentry and outreach programs, referrals to community resources, and scheduling flexibility.	Description	
G.	§ 1423(7)	As appropriate, a description of any partnerships with institutions of higher education or local businesses to facilitate postsecondary and workforce success for children and youth returning from correctional facilities, such as through participation in credit-bearing coursework while in secondary school, enrollment in postsecondary education, participation in career and technical education programming, and mentoring services for participating students.	Description	

ESSA: LEA Plan Requirements

H.	§ 1423(8)	As appropriate, a description of how the program will involve parents and family members in efforts to improve the educational achievement of their children, assist in dropout prevention activities, and prevent the involvement of their children in delinquent activities.	Description	
I.	§ 1423(9)	A description of how the program under this subpart will be coordinated with other Federal, State, and local programs, such as programs under title I of the Workforce Innovation and Opportunity Act and career and technical education programs serving at-risk children and youth.	Description	
J.	§ 1423(10)	A description of how the program will be coordinated with programs operated under the Juvenile Justice and Delinquency Prevention Act of 1974 and other comparable programs, if applicable.	Description	
K.	§ 1423(11)	As appropriate, a description of how schools will work with probation officers to assist in meeting the needs of children and youth returning from correctional facilities.	Description	
L.	§ 1423(12)	A description of the efforts participating schools will make to ensure correctional facilities working with children and youth are aware of a child's or youth's existing individualized education program.	Description	

ESSA: LEA Plan Requirements

M.	§ 1423(13)	As appropriate, a description of the steps participating schools will take to find alternative placements for children and youth interested in continuing their education but unable to participate in a traditional public school program.	Description	
----	------------	---	-------------	--

Title II – Part A: LEA application requirements for the receipt of Title II funds.				
IIA.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	§ 2102(b)(2)(A)	A description of the activities to be carried out by the local educational agency under this section and how these activities will be aligned with challenging State academic standards.	Description	
B.	§ 2102(b)(2)(B)	A description of the local educational agency’s systems of professional growth and improvement, such as induction for teachers, principals, or other school leaders and opportunities for building the capacity of teachers and opportunities to develop meaningful teacher leadership.	Description	
C.	§ 2102(b)(2)(C)	A description of how the local educational agency will prioritize funds to schools served by the agency that are implementing comprehensive support and improvement activities and targeted support and improvement activities under section 1111(d) and have the highest percentage of children counted under section 1124(c).	Description	

ESSA: LEA Plan Requirements

D.	§ 2102(b)(2)(D)	A description of how the local educational agency will use data and ongoing consultation described in paragraph (3) to continually update and improve activities supported under this part.	Description	
E.	§ 2102(b)(2)(E)	An assurance that the local educational agency will comply with section 8501 (regarding participation by private school children and teachers).	Assurance	
F.	§ 2102(b)(2)(F)	An assurance that the local educational agency will coordinate professional development activities authorized under this part with professional development activities provided through other Federal, State, and local programs.	Assurance	

Title III – Part A: LEA plan requirements for the receipt of Title III funds.				
IIIA.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	§ 3116(b)(1)	Describe the effective programs and activities, including language instruction educational programs, proposed to be developed, implemented, and administered under the subgrant that will help English learners increase their English language proficiency and meet the challenging State academic standards.	Description	

ESSA: LEA Plan Requirements

B.	§ 3116(b)(2)	Describe how the eligible entity will ensure that elementary schools and secondary schools receiving funds under this subpart assist English learners in— (A) achieving English proficiency based on the State’s English language proficiency assessment under section 1111(b)(2)(G), consistent with the State’s long-term goals, as described in section 1111(c)(4)(A)(ii); and (B) meeting the challenging State academic standards.	Description	
C.	§ 3116(b)(3)	Describe how the eligible entity will promote parent, family, and community engagement in the education of English learners.	Description	
D.	§ 3116(b)(4)(A)	Provide an assurance that each local educational agency that is included in the eligible entity is complying with section 1112(e) prior to, and throughout, each school year as of the date of application.	Assurance	
E.	§ 3116(b)(4)(B)	Provide an assurance that the eligible entity is not in violation of any State law, including State constitutional law, regarding the education of English learners, consistent with sections 3125 and 3126.	Assurance	
F.	§ 3116(b)(4)(C)	Provide an assurance that the eligible entity consulted with teachers, researchers, school administrators, parents and family members, community members, public or private entities, and institutions of higher education, in developing and implementing such plan.	Assurance	

ESSA: LEA Plan Requirements

G.	§ 3116(b)(4)(D)	Provide an assurance that the eligible entity will, if applicable, coordinate activities and share relevant data under the plan with local Head Start and Early Head Start agencies, including migrant and seasonal Head Start agencies, and other early childhood education providers.	Assurance	
H.	§ 3116(c)	Each eligible entity receiving a subgrant under section 3114 shall include in its plan a certification that all teachers in any language instruction educational program for English learners that is, or will be, funded under this part are fluent in English and any other language used for instruction, including having written and oral communications skills.	Certification	
I.	§ 3115(e)	<p><i>Assurance not required explicitly in statute, but we have included this assurance in all previous applications and have the authority to ask for "such information as the" SEA may require under 3116.</i></p> <p>The Title III Immigrant Set-Aside funds are intended to support activities that provide enhanced instructional opportunities for immigrant children and youth. By accepting these funds, the LEA agrees to comply with all associated program requirements.</p>	Assurance	

Title IV – Part A: LEA plan requirements for the receipt of Title IV – Part A funds.				
IVA.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	§ 4106(a)(1)	A local educational agency shall— (1) submit an application, which shall contain, at a minimum, the information described in subsection (e), to the State educational agency at such time, in such manner, and containing such information as the State educational agency may reasonably require.		

ESSA: LEA Plan Requirements

B.	§ 4106(a)(2)	<i>Could require the following as an assurance.</i> A local educational agency shall— (2) complete a needs assessment in accordance with subsection (d).	Assurance	
C.	§ 4106(e)(1)(A)	A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of— (A) any partnership with an institution of higher education, business, nonprofit organization, community-based organization, or other public or private entity with a demonstrated record of success in implementing activities under this subpart.	Description	
D.	§ 4106(e)(1)(B)	A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of— (B) if applicable, how funds will be used for activities related to supporting well-rounded education under section 4107.	Description	
E.	§ 4106(e)(1)(C)	A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of— (C) if applicable, how funds will be used for activities related to supporting safe and healthy students under section 4108.	Description	
F.	§ 4106(e)(1)(D)	A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of— (D) if applicable, how funds will be used for activities related to supporting the effective use of technology in schools under section 4109.	Description	

ESSA: LEA Plan Requirements

G.	§ 4106(e)(1)(E)	A description of the activities and programming that the local educational agency, or consortium of such agencies, will carry out under this subpart, including a description of— (E) the program objectives and intended outcomes for activities under this subpart, and how the local educational agency, or consortium of such agencies, will periodically evaluate the effectiveness of the activities carried out under this section based on such objectives and outcomes.	Description	
H.	§ 4106(e)(2)(A)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (A) prioritize the distribution of funds to schools served by the local educational agency, or consortium of such agencies, that— (i) are among the schools with the greatest needs, as determined by such local educational agency, or consortium; (ii) have the highest percentages or numbers of children counted under section 1124(c); (iii) are identified for comprehensive support and improvement under section 1111(c)(4)(D)(i); (iv) are implementing targeted support and improvement plans as described in section 1111(d)(2); or (v) are identified as a persistently dangerous public elementary school or secondary school under section 8532.	Assurance	
I.	§ 4106(e)(2)(B)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (B) comply with section 8501 (regarding equitable participation by private school children and teachers).	Assurance	
J.	§ 4106(e)(2)(C)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (C) use not less than 20 percent of funds received under this subpart to support one or more of the activities authorized under section 4107.	Assurance	

ESSA: LEA Plan Requirements

K.	§ 4106(e)(2)(D)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (D) use not less than 20 percent of funds received under this subpart to support one or more activities authorized under section 4108.	Assurance	
L.	§ 4106(e)(2)(E)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (E) use a portion of funds received under this subpart to support one or more activities authorized under section 4109(a), including an assurance that the local educational agency, or consortium of local educational agencies, will comply with section 4109(b).	Assurance	
M.	§ 4106(e)(2)(F)	Each application shall include assurances that the local educational agency, or consortium of such agencies, will— (F) annually report to the State for inclusion in the report described in section 4104(a)(2) how funds are being used under this subpart to meet the requirements of subparagraphs (C) through (E).	Assurance	
N.	§ 4106(f)	<i>NOTE: Any LEA receiving an allocation under 3105(a)(1) in an amount less than \$30,000 shall be required to provide only one of the assurances described in (C), (D), and (E) of subsection (2).</i>		

The Title IVB program requirements are not a part of the consolidated application. However, districts must apply for these funds and we will be seeking your input on these requirements as well.

Title IV – Part B: LEA application requirements for the receipt of Title IV – Part B funds.				
IVB.	ESSA Citation	Requirement	Description/Assurance	Notes:

ESSA: LEA Plan Requirements

A.	§ 4204(b)(2)(A)(i)	An assurance that the program will take place in a safe and easily accessible facility.	Assurance	
B.	§ 4204(b)(2)(A)(ii)	A description of how students participating in the program carried out by the community learning center will travel safely to and from the center and home, if applicable.	Description	
C.	§ 4204(b)(2)(A)(iii)	A description of how the eligible entity will disseminate information about the community learning center (including its location) to the community in a manner that is understandable and accessible.	Description	
D.	§ 4204(b)(2)(B)	A description of how such activities are expected to improve student academic achievement as well as overall student success.	Description	
E.	§ 4204(b)(2)(C)	A demonstration of how the proposed program will coordinate Federal, State, and local programs and make the most effective use of public resources.	Demonstration	

ESSA: LEA Plan Requirements

F.	§ 4204(b)(2)(D)(i)	An assurance that the proposed program was developed and will be carried out— (i) in active collaboration with the schools that participating students attend (including through the sharing of relevant data among the schools), all participants of the eligible entity, and any partnership entities described in subparagraph (H), in compliance with applicable laws relating to privacy and confidentiality.	Assurance	
G.	§ 4204(b)(2)(D)(ii)	An assurance that the proposed program was developed and will be carried out— (ii) in alignment with the challenging State academic standards and any local academic standards.	Assurance	
H.	§ 4204(b)(2)(E)	A description of how the activities will meet the measures of effectiveness described in section 4205(b).	Description	
I.	§ 4204(b)(2)(F)	An assurance that the program will target students who primarily attend schools eligible for schoolwide programs under section 1114 and the families of such students.	Assurance	
J.	§ 4204(b)(2)(G)	An assurance that subgrant funds under this part will be used to increase the level of State, local, and other non-Federal funds that would, in the absence of funds under this part, be made available for programs and activities authorized under this part, and in no case supplant Federal, State, local, or non-Federal funds.	Assurance	

ESSA: LEA Plan Requirements

K.	§ 4204(b)(2)(H)	A description of the partnership between a local educational agency, a community-based organization, and another public entity or private entity, if appropriate.	Description	
L.	§ 4204(b)(2)(I)	An evaluation of the community needs and available resources for the community learning center, and a description of how the program proposed to be carried out in the center will address those needs (including the needs of working families). <i>**Have an upload/submit feature for this requirement?</i>	Evaluation	
M.	§ 4204(b)(2)(J)	A demonstration that the eligible entity will use best practices, including research or evidence-based practices, to provide educational and related activities that will complement and enhance academic performance, achievement, postsecondary and workforce preparation, and positive youth development of the students.	Demonstration	
N.	§ 4204(b)(2)(K)	A description of a preliminary plan for how the community learning center will continue after funding under this part ends.	Description	
O.	§ 4204(b)(2)(L)	An assurance that the community will be given notice of an intent to submit an application and that the application and any waiver request will be available for public review after submission of the application.	Assurance	

ESSA: LEA Plan Requirements

P.	§ 4204(b)(2)(M)	If the eligible entity plans to use volunteers in activities carried out through the community learning center, a description of how the eligible entity will encourage and use appropriately qualified persons to serve as the volunteers.	Description	
Q.	§ 4204(b)(2)(F)	Such other information and assurances as the State educational agency may reasonably require.	TBD	

The Title IX program requirements are not a part of the consolidated application. However, districts must apply for these funds and we will be seeking your input on these requirements as well.

Title IX: McKinney-Vento Homeless Assistance Act				
IX-M.	ESSA Citation	Requirement	Description/Assurance	Notes:
A.	42 U.S.C. 11433 § 723(b)(1)	An assessment of the educational and related needs of homeless children and youths in the area served by such agency (which may be undertaken as part of needs assessments for other disadvantaged groups).	Assessment	
B.	42. U.S.C. 11433 § 723(b)(2)	A description of the services and programs for which assistance is sought to address the needs identified in paragraph (1).	Description	

ESSA: LEA Plan Requirements

C.	42. U.S.C. 11433 § 723(b)(3)	An assurance that the local educational agency's combined fiscal effort per student, or the aggregate expenditures of that agency and the State with respect to the provision of free public education by such agency for the fiscal year preceding the fiscal year for which the determination is made, was not less than 90 percent of such combined fiscal effort or aggregate expenditures for the second fiscal year preceding the fiscal year for which the determination is made.	Assurance	
D.	42. U.S.C. 11433 § 723(b)(4)	An assurance that the applicant complies with, or will use requested funds to comply with, paragraphs (3) through (7) of section 11432(g) of this title.	Assurance	
E.	42. U.S.C. 11433 § 723(b)(5)	A description of policies and procedures, consistent with section 11432(e)(3) of this title, that the agency will implement to ensure that activities carried out by the agency will not isolate or stigmatize homeless children and youths.	Description	
F.	42. U.S.C. 11433 § 723(b)(6)	An assurance that the local educational agency will collect and promptly provide data requested by the State Coordinator pursuant to paragraphs (1) and (3) of section 722(f).	Assurance	
G.	42. U.S.C. 11433 § 723(b)(7)	An assurance that the local educational agency will meet the requirements of section 722(g)(3).	Assurance	